

USTDA-Funded Feasibility Study, Technical Assistance, or Training Grant						
Government-Owned Company and Parastatal Grantee Information Form						
This form is designed to enable the U.S. Trade and Development Agency ("USTDA") to obtain information about entities and individuals proposed for participation in USTDA-funded activities. Information in this form is used to conduct screening of entities and individuals to confirm that potential partners meet USTDA's requirements, and to ensure compliance with legislative and executive branch prohibitions on providing support or resources to, or engaging in transactions with, certain individuals or entities.						
USTDA Activity Number [To be completed by USTDA]						
Activity Type [To be completed by USTDA]			Feasibility Study		Technical Assistance	Other (specify)
Activity Title [To be completed by USTDA]						
1. Full Legal Name of Grantee (as stated in articles of incorporation or other legal documents)						
2. Grantee Business Address						
3a. Grantee Telephone						
3b. Grantee Website						
4. Year Grantee Established (Include any predecessor entity(ies) and year(s) established. Please attach additional pages as necessary.)						
5. Type of Formation (attach supporting documentation).			Created by Statute Please attach statute			
			Other Please specify and attach supporting documentation			
6. If Grantee has upstream entities that own or control it (e.g., a shareholder, parent company, government ministry, etc.), provide a list of such entities and the percentage of their ownership, as applicable. Please complete an Attachment A for each upstream entity whose ownership percentage is 10% or more , and for any public entity that owns or controls the Grantee. Attachment A does not need to be completed for individual people who own shares of the Grantee, even though such individuals must be listed here. <u>The sum of the percentages listed here must equal 100%.</u>		Name of Upstream Entity		Percentage (%) Ownership (Column total must equal 100%)	Upstream Entity Type (e.g., shareholder, parent company, government ministry, etc.)	
7. Please list all entities and individuals (e.g., ministers, ministries, governors, and other offices or committees) that are not listed in (6) above that (i) exercise control or oversight over the Grantee's operations, (ii) have the authority to appoint or remove members on the Grantee's board, or (iii) otherwise have the authority to direct the Grantee's business operations. Please also complete an Attachment A for each entity (but not individual) listed here. If available, please attach a corporate organization chart that includes the corporate relationships listed here.		Name of Entity or Individual Exercising Control or Oversight			Individual Title	
Project Manager (Point of Contact)						
8. Full Legal Name (First, Middle, Last/Family)						
9. Family Name or Last Name						
10. Business Address						
11. Telephone						
12. Email						
Grantee may attach additional sheets, as necessary.						

13. Provide a list of all the Grantee's top executives and members of its governing board, including directors and principal officers. Please provide full legal names.

[illegible]

Grantee's Representations			
Grantee shall certify the following (or provide any explanation as to why any representation cannot be made):			Initial below
A. Grantee is a [check one]	<input type="checkbox"/>	Government-Owned Corporation	
	<input type="checkbox"/>	Other (please specify)	
	duly organized, validly existing and in good standing under the laws of:		
The Grantee has all the requisite power and authority to conduct its business as presently conducted. The Grantee is not debarred, suspended, or to the best of its knowledge or belief, proposed for debarment or ineligible for the award of government contracts or multilateral assistance under the laws of the U.S. or any other law.			
B. The Grantee has included herewith a copy of its authorizing legislation or Articles of Incorporation (or equivalent charter or document issued by a designated authority in accordance with applicable laws that provides information and authentication regarding the legal status of an entity). Please provide both the original version and the English translation of all documents. The Grantee commits to notify USTDA if it becomes aware of any change in its legal status or standing.			
C. Neither the Grantee nor any of its directors or principal officers has, within the ten-year period preceding the submission of these representations, been convicted of or had a judgment rendered against them for: commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a government contract or subcontract under the laws of the U.S. or any other law; violation of antitrust statutes under the laws of the U.S. or any other law relating to the submission of offers; or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, tax evasion, violating criminal tax laws, or receiving stolen property.			
D. Neither the Grantee, nor any of its directors or principal officers, is presently indicted for, or otherwise criminally or civilly charged with, commission of any of the offenses enumerated in paragraph C above.			
E. There are no tax liens pending against the assets, property, or business of the Grantee. The Grantee has not, within the three-year period preceding the submission of these representations, been notified of any delinquent federal or state taxes in an amount that exceeds US\$10,000 for which the liability remains unsatisfied. Taxes are considered delinquent if (a) the tax liability has been fully determined, with no pending administrative or judicial appeals; and (b) a taxpayer has failed to pay the tax liability when full payment is due and required.			
F. The Grantee has not commenced a voluntary case or other proceeding seeking liquidation, reorganization, or other relief with respect to itself of its debts under any bankruptcy, insolvency, or other similar law. The Grantee has not had filed against it an involuntary petition under any bankruptcy, insolvency, or any similar law.			
The Grantee shall notify USTDA if any of the representations are no longer true, correct, and complete.			
Grantee certifies that the information provided in this form is true, correct, and complete. Grantee understands and agrees that the U.S. Government may rely on the accuracy of this information in processing a request to participate in a USTDA-funded activity. If at any time USTDA has reason to believe that any person or entity has willfully and knowingly provided incorrect information or made false statements, USTDA may take action under applicable law. The undersigned represents and warrants that he/she has the requisite power and authority to sign on behalf of the Grantee.			
14. Name		17.	
15. Title		Signature	
16. Full Legal Name of Grantee		18. Date	
Please attach a copy of the Grantee's authorizing legislation or Articles of Incorporation (or equivalent charter or document issued by a designated authority in accordance with applicable laws that provides information and authentication regarding the legal status of an entity) as well as an English translation of such documentation.			



U.S. TRADE AND DEVELOPMENT AGENCY

ATTACHMENT A

USTDA-Funded Feasibility Study, Technical Assistance, or Training Grant

Government-Owned Company and Parastatal Grantee Ownership Information Form:

Entities Exercising Ownership or Control

This form shall be completed for each individual or entity that owns 10% or more of the Grantee, as well as any entity that controls the Grantee (each a "Parent"). A Parent can be a public (e.g., governmental), state-owned, or private entity. An additional copy of this form shall be completed for each owner identified in this form that owns 10% or more of the Parent. This form does not need to be completed for individual people who own shares of the Grantee or Parent.

[illegible]

Activity Title [To be completed by USTDA]	
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1. Full Legal Name of Grantee	
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2. Full Legal Name of Parent	
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3. Address of Parent	
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4. Year Parent Entity Established (include any predecessor entity(ies) and year(s) established). Please attach additional pages as necessary.

5. Country of Parent's Operation or Principal Place of Business	
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6. Type of Entity	Government/State	
	Private Company	
	Other (specify)	

7. If Parent has upstream entities that own or control it (e.g., a shareholder, parent company, government ministry etc.), provide a list of such entities and the percentage of their ownership, as applicable. Please complete an additional Attachment A for each owner whose ownership percentage is 10% or more, and for any public entities that own or control the Parent.	Name of Upstream Entity	Percentage (%) Ownership (Column total must equal 100%)	Upstream Entity Type (e.g., shareholder, parent company, government ministry, etc.)

Attachment A does not need to be completed for individual people who own shares of the Parent, though such individuals must be listed here. The sum of the percentages listed here must equal 100%.

Please attach additional sheets, as necessary.



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8. Provide a list of all members of the Parent's governing board, including directors and principal officers. If the Parent is a governmental entity (e.g. a ministry), please provide a list of the entity's chief officers. Please provide full legal names.

Title	Name	
(e.g., Director, President, Chief Executive Officer, Secretary, Treasurer, Minister, or other applicable title)	Full Legal Name (First, Middle, Last/Family)	Family Name or Last Name