



U.S. TRADE AND DEVELOPMENT AGENCY

## USTDA-Funded Feasibility Study, Technical Assistance, or Training Grant

### Private Sector Grantee Information Form

This form is designed to enable the U.S. Trade and Development Agency ("USTDA") to obtain information about entities and individuals proposed for participation in USTDA-funded activities. Information in this form is used to conduct screening of entities and individuals to confirm that potential partners meet USTDA's requirements, and to ensure compliance with legislative and executive branch prohibitions on providing support or resources to, or engaging in transactions with, certain individuals or entities.

USTDA Activity Number [To be completed by USTDA]					
Activity Type [To be completed by USTDA]		Feasibility Study		Technical Assistance	Other (specify)
Activity Title [To be completed by USTDA]					
1. Full Legal Name of Grantee (as stated in articles of incorporation or other legal documents)					
2. Grantee Business Address					
3a. Grantee Telephone					
3b. Grantee Website					
4. Year Grantee Established (Include any predecessor company(ies) and year(s) established. Please attach additional pages as necessary.)					
5. Country of Grantee's Principal Place of Business					
6. Type of Business Entity (e.g., corporation, LLC, LP, SA, JSC, partnership, etc.)					
7. Type of Ownership		Publicly Traded Company (i.e., offers securities for sale to the general public through a stock exchange)	Principal Stock Exchange (specify):		
		Private Company			
		Other (specify)			
8. If Grantee has owners (e.g., shareholder, partner, parent company), provide a list of such owners and the percentage of their ownership. Please complete an <b>Attachment A</b> for each parent company whose ownership percentage is 10% or more. Attachment A does not need to be completed for individual people who own shares of the Grantee, though such individuals must be listed here. <u>The sum of the percentages listed here must equal 100%.</u>  If available, please attach a <b>corporate organization chart</b> that includes the corporate relationships listed here.	Name of Owner			Percentage (%) Ownership (Column total must equal 100%)	
<b>Project Manager (Point of Contact)</b>					
9. Full Legal Name (First, Middle, Last/Family)					
10. Family Name or Last Name					
11. Business Address					
12. Telephone					
13. Email					
Grantee may attach additional sheets, as necessary.					





U.S. TRADE AND DEVELOPMENT AGENCY

Grantee's Representations			Initial below	
Grantee shall certify the following (or provide any explanation as to why any representation cannot be made):				
A. Grantee is a:	15. Type of Business Entity (e.g., corporation, LLC/limited company, SA, JSC, LP/partnership, etc.)			
	duly organized, validly existing and in good standing under the laws of:	16.		
	The Grantee has all the requisite corporate power and authority to conduct its business as presently conducted. The Grantee is not debarred, suspended, or to the best of its knowledge or belief, proposed for debarment or ineligible for the award of government contracts or multilateral assistance under the laws of the U.S. or any other law.			
B. The Grantee has included herewith a copy of its Articles of Incorporation (or equivalent charter or document issued by a designated authority in accordance with applicable laws that provides information and authentication regarding the legal status of an entity) and a Certificate of Good Standing (or equivalent document) issued within one (1) month of the date of signature below under the laws of:				
	17.			
The Grantee has also included herewith an English translation of such documentation. The Grantee commits to notify USTDA if it becomes aware of any change in its status in the jurisdiction in which it is incorporated.				
C. Neither the Grantee nor any of its directors or principal officers have, within the ten-year period preceding the submission of these representations, been convicted of or had a judgment rendered against them for: commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a government contract or subcontract under the laws of the U.S. or any other law; violation of antitrust statutes under the laws of the U.S. or any other law relating to the submission of offers; or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, tax evasion, violating criminal tax laws, or receiving stolen property.				
D. Neither the Grantee, nor any of its directors or principal officers, is presently indicted for, or otherwise criminally or civilly charged with, commission of any of the offenses enumerated in paragraph C above.				
E. There are no tax liens pending against the assets, property, or business of the Grantee. The Grantee has not, within the three- year period preceding the submission of these representations, been notified of any delinquent federal or state taxes in an amount that exceeds US\$10,000 for which the liability remains unsatisfied. Taxes are considered delinquent if (a) the tax liability has been fully determined, with no pending administrative or judicial appeals; and (b) a taxpayer has failed to pay the tax liability when full payment is due and required.				
F. The Grantee has not commenced a voluntary case or other proceeding seeking liquidation, reorganization, or other relief with respect to itself of its debts under any bankruptcy, insolvency, or other similar law. The Grantee has not had filed against it an involuntary petition under any bankruptcy, insolvency, or any similar law.				
<b>The Grantee shall notify USTDA if any of the representations are no longer true, correct, and complete.</b>				
Grantee certifies that the information provided in this form is true, correct, and complete. Grantee understands and agrees that the U.S. Government may rely on the accuracy of this information in processing a request to participate in a USTDA-funded activity. If at any time USTDA has reason to believe that any person or entity has willfully and knowingly provided incorrect information or made false statements, USTDA may take action under applicable law. The undersigned represents and warrants that he/she has the requisite power and authority to sign on behalf of the Grantee.				
18. Name		21. Signature		
19. Title				
20. Full Legal Name of Grantee		22. Date		
<b>Please attach a copy of the Grantee's Articles of Incorporation (or equivalent document) and Certificate of Good Standing (or equivalent document) as well as an English translation of these documents.</b>				



U.S. TRADE AND DEVELOPMENT AGENCY

**ATTACHMENT A**

**USTDA-Funded Feasibility Study, Technical Assistance, or Training Grant**

**Private Sector Grantee Ownership Information Form:**

**Parent Entity(ies)**

This form shall be completed for each parent entity that owns 10% or more of the Grantee ("Owner"). An additional copy of this form shall be completed for each parent company identified in this form that owns 10% or more in the Owner, as identified in this form. This form does not need to be completed for individual people who own shares of the Grantee or Owner.

USTDA Activity Number [To be completed by USTDA]			
Activity Title [To be completed by USTDA]			
1. Full Legal Name of Grantee			
2. Full Legal Name of Owner (as stated in articles of incorporation or other legal documents)			
3. Business Address of Owner			
4. Owner Telephone Number			
5. Owner Website			
6. Year Owner Established (include any predecessor company(ies) and year(s) established). Please attach additional pages as necessary.			
7. Country of Owner's Operation or Principal Place of Business			
8. Type of Entity	<input type="checkbox"/>	Publicly Traded Company (i.e., offers securities for sale to the general public through a stock exchange)	Principal Stock Exchange (specify):
	<input type="checkbox"/>	Private Company	
	<input type="checkbox"/>	Other (specify)	
9. Provide a list of Owner's upstream owners (e.g., shareholder, partner, parent company) and the percentage of their ownership. Please complete an additional <b>Attachment A</b> for each parent company whose ownership percentage is 10% or more.  Attachment A does not need to be completed for individual people who own shares of the Owner, though such individuals must be listed here. <u>The sum of the percentages listed here must equal 100%.</u>	Name of Owner		Percentage (%) Ownership (Column total must equal 100%)

*Please attach additional sheets, as necessary.*



U.S. TRADE AND DEVELOPMENT AGENCY

10. Provide a list of all the Owner's top executives and members of its governing board, including directors and principal officers. Please provide full legal names.

Title	Name	
(e.g., Director, President, Chair, Chief Executive Officer, Secretary, Treasurer, or other applicable title)  <i>*Please place an asterisk (*) next to the names of those directors and principal officers who will be involved in the USTDA-funded activity.</i>	Full Legal Name (First, Middle, Last/Family)	Family Name or Last Name